

**Government of the Republic of Serbia/Ministry
of Construction, Infrastructure, and Transport,
Serbia Local Infrastructure and Institutional
Development Project, P174251**

**ENVIRONMENTAL and SOCIAL
COMMITMENT PLAN (ESCP)**

October, 2021

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Serbia (hereinafter the Borrower) shall implement the Serbia Local Infrastructure and Institutional Development Project (the **Project**), with the involvement of the following Ministries/agencies/units: The Ministry of Construction, Infrastructure and Transport (MoCTI). The International Bank for Reconstruction and Development (hereinafter the Bank) has agreed to provide financing for the Project.
2. The Borrower shall carry out the Project in accordance with the Environmental and Social Standards (**ESSs**). To this end, this Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions to be carried out or caused to be carried out by the Republic of Serbia including the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, grievance management and the environmental and social assessments and instruments to be prepared or updated, disclosed, consulted, adopted and implemented under the ESCP and the ESSs, all in a manner acceptable to the Bank.
3. Implementation of the material measures and actions set out in this ESCP shall be monitored and reported to the Bank by the Borrower as required by the ESCP and the provisions of the Loan Agreement¹.
4. As agreed by the Bank and the Borrower, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Borrower shall update the ESCP to reflect the agreed-upon changes. Agreement on changes to the ESCP shall be documented through an exchange of letters signed between the Bank and the Borrower. The Borrower shall promptly disclose the updated ESCP.
5. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Borrower shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts.

¹ Use "Financing Agreement" for IDA financing. Use "Loan Agreement for IBRD financing."



MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to, stakeholder engagement activities and grievances log.</p>	<p><i>(i) Every six months throughout Project implementation as a part of the Project's progress reports and (ii) for sub projects, at least every six months into implementation unless differently defined by the ESA (ESIA, ESCP or ESCP Checklist).</i></p>	<p><i>Project Implementation Unit (PIU) within the Ministry of Construction, Infrastructure and Transport (MoCIT)</i></p> <p><i>Funding from the Project budget</i></p>
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers [, including, inter alia, any COVID outbreak in the Project area]. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Bank's request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.</p>	<p><i>Any incident or accident shall be notified immediately after taking note of the incident or accident, report to the Task Team Leader of the Bank.</i></p> <p><i>The immediate incident report shall be submitted to the Bank within 48 hours.</i></p> <p><i>A detailed incident report shall be submitted to the Bank, not later than 7 working days from the PIU learning of the incident.</i></p>	<p><i>PIU Project Coordinator</i></p> <p><i>Funding from the Project budget.</i></p>
C	<p>CONTRACTORS MONTHLY REPORTS</p> <p>Contractors shall provide monthly monitoring reports to the Project Implementing Unit.</p>	<p><i>Monthly and upon request from the PIU (in the case of incidental or unexpected situations)</i></p>	<p><i>PIU to place the requirement clause in the contract</i></p> <p><i>Contractor</i></p>
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>The MoCIT shall establish and maintain a PIU with qualified staff and resources to support management of ESHS risks and impacts of the Project including a full-time environmental, full-time social specialists, and a part-time Occupational Health and Safety (OHS) specialist responsible for ensuring full compliance with the ESF and relevant instruments.</p>	<p><i>An organizational structure including part-time employed OHS, full-time environmental and full-time social specialists shall be established one month after the effectiveness. The organizational structure, including the specialists, shall be maintained throughout Project implementation</i></p>	<p><i>MoCIT</i></p> <p><i>Funding from the Project budget</i></p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.2	<p>ENVIRONMENTAL AND SOCIAL ASSESSMENT/MANAGEMENT PLANS AND INSTRUMENTS/ CONTRACTORS</p> <p>a. Assess the environmental and social risks and impacts of proposed Project activities, in accordance with the <i>Environmental and Social Management Framework (ESMF)</i> to be prepared, disclosed, consulted and adopted for the Project, the ESSs, the Environmental, Health and Safety Guidelines (EHSGs), and other relevant Good International Industry Practice (GIIP), including relevant WHO guidelines.</p> <p>b. Prepare, disclose, consult, adopt and implement any environmental and social management plans, instruments or other measures required for the respective Project activities based on the assessment process, in accordance with the ESSs, the ESMF, the EHSGs, and other relevant Good International Industry Practice (GIIP), including relevant WHO guidelines to, inter alia, ensure access to and allocation of Project benefits in a fair, equitable and inclusive manner, taking into account the needs of individuals or groups who, because of their particular circumstances, may be disadvantaged or vulnerable.</p> <p>c. Incorporate the relevant aspects of this ESCP, including, inter alia, the ESMF, any environmental and social management plans or other instruments, ESS2 requirements, and any other required ESHS measures, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply with the ESHS specifications of their respective contracts.</p>	<p>a. <i>Project's ESMF shall be prepared, disclosed, consulted, and adopted by Appraisal. Assessment shall be conducted before the carrying out of the relevant Project activities.</i></p> <p>b. Plans/instruments shall be prepared, disclosed, consulted and adopted before the carrying out of the relevant Project activities, and thereafter implemented throughout the carrying out of such activities.</p> <p>c. The relevant ESHS measures shall be incorporated into the procurement documents before launching the procurement process for the relevant Project activities and shall thereafter complied with throughout the carrying out of such activities.</p>	<p><i>MoCTI prepares and finalizes ESMF with the support of PIU Environmental and Social Expert.</i></p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.3	<p>EXCLUSIONS: Exclude the following types of activities as ineligible for financing under the Project:</p> <ul style="list-style-type: none"> • Activities that may cause long term, permanent and/or irreversible (e.g. loss of major natural habitat) adverse impacts on the environment • Activities that may have significant adverse social impacts and may give rise to significant social conflict • Activities that may affect lands or rights of minorities, • Activities that may involve any resettlement (temporary or permanent) or land acquisition/use restriction or adverse impacts on cultural heritage • All the other excluded activities set out in the ESMF of the Project. 	<p>During the assessment process conducted under action 1.2.a. above. Screening is conducted throughout Project implementation.</p>	<p><i>MoCIT (i) prepares and finalizes ESMF; (ii) prepares Environmental Assessment Reports (ESMPs, ESMP Checklists, CHMP) with the support of PIU Environmental and Social Expert.</i></p> <p><i>Environmental Assessment Reports (ESMPs, ESMP Checklists, CHMP) should be implemented by contractor and supervising engineer.</i></p> <p><i>Funding from Project funds</i></p>
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT:</p> <p>The Project shall be carried out in accordance with the applicable requirements of ESS2 including through, inter alia, implementing adequate occupational health and safety measures (including personal protective equipment, and emergency preparedness and response measures), setting out grievance mechanisms for Project workers, and incorporating labor requirements into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms.</p>	<p><i>Project level LMP shall be prepared prior to appraisal</i></p> <p><i>Sub-project level LMPs as part of E&S assessment Reports shall be prepared prior to performing work.</i></p> <p><i>LMP to be applied throughout Project implementation</i></p>	<p><i>PIU</i></p> <p><i>Funding from the Project budget</i></p>
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Develop, maintain, and operate a grievance mechanism for Project workers -as described in the LMP and consistent with national labor legislation and the ESS2 requirements. The respective Grievance mechanism, along with related labor and employment matters must be easily accessible and promptly disclosed to Project workers, in line with ESS2 and labor laws in Serbia.</p>	<p><i>Grievance mechanisms operational prior to engaging Project workers and Contracted Workers, respectively, and maintained throughout Project implementation.</i></p> <p><i>Information about grievance mechanism is disseminated to project workers prior to start of works</i></p>	<p><i>Project Manager (PIU)</i></p> <p><i>Funding from project resources and contractor budget</i></p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
2.3	<p>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</p> <p>Develop and implement occupational health and safety measures (OHS), including emergency preparedness and response measures, project workers training to heighten awareness of possible risks (PPE, first aid, firefighting equipment, high-voltage, etc.), injuries and to mitigate impacts on local communities in specific ESAs, based on ESMF defined principles and procedures as well as on COVID-19 considerations defined in the ESMF and LMP. Occupational Health and Safety (OHS) management plans shall be prepared for each sub-project.</p>	<p><i>Contractual obligation</i> <i>Maintained throughout Project implementation</i> <i>Measures are developed prior to bidding and contracting, as a part of ESA.</i> <i>OHS measures shall be in place before commencement of the activity they are designed for</i></p> <p><i>OHS management plan for a sub-project prepared by Contractor prior to commencement of works.</i></p> <p><i>LMP to be applied throughout Project implementation</i></p>	<p><i>Project Manager (PIU)</i> <i>Funding from project resources</i></p> <p><i>Contractor</i></p>
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	<p>Relevant aspects of this standard shall be considered, as needed, under action 1.2 above, including, inter alia, measures to: carry out the measures and actions defined in Environmental Assessment Reports (ESMPs, ESMP Checklists, updated existing ESIAs) and measures bridging identified material ESF/WB EHS gaps in the EHS Audits. All waste streams' testing (potentially hazardous waste), classification, management and final disposal/processing that shall be generated on spot shall be identified and carefully monitored. Chemicals used for impregnation of wood and use of other chemicals shall comply with relevant national legislation. Large quantities of hazardous waste shall be mitigated through implement measures and actions, and under conditions, defined in Environmental Assessment Reports (ESMPs, ESMP). These waste streams shall be managed compliant to WB ESF and WB EHS for waste, including mandatory disposal/treatment at licensed facilities. These significant waste streams shall be included to sub-project (and contract) Bills of Quantities.</p>	<p><i>Contractual obligation</i> <i>Maintained throughout Project implementation.</i></p> <p><i>A licensed facility for disposal/processing of significant streams of hazardous waste will be identified and contracted before commencement of works.</i></p>	<p><i>Contractors</i> <i>PIU</i></p>
ESS 4: COMMUNITY HEALTH AND SAFETY			

<p>4.1</p>	<p>COMMUNITY HEALTH AND SAFETY: Relevant aspects of this standard shall be considered, as needed, under action 1.2 above including, inter alia, measures to: minimize the potential for community exposure to communicable diseases; establish and implement appropriate quality management systems to manage the risks and the impacts that services provided and activities carried out under the Project may have on community health and safety; manage the risks of the use of security personnel; manage the risks of labor influx; and prevent and respond to sexual exploitation and abuse, and sexual harassment. As part of its bid the successful Contractor is required to submit a preliminary TMP for rehabilitation of existing roads and streets and other works as deem needed. Before work commencement updated TMP shall be submitted to PIU Environmental and Social Expert and shall form part of Environmental Assessment Report (ESMP, ESMP Checklist). The Contractor shall implement measures and actions defined in Environmental Assessment Reports (ESIAs, ESMPs, ESMP Checklists, updated existing ESIAs) and measures bridging identified material ESF/WB EHSB gaps in the EHS Audits.</p>	<p><i>Draft TMP is part of contracting documentation. TMP is finalized (updated for specific sites) prior to commencement of works</i></p>	<p><i>Contractor PIU Funding from project resources</i></p>
		<p><i>The Environmental Assessment Reports (ESIA, ESMP, ESMP Checklist) shall be disclosed with adequate time to facilitate public consultations prior to bidding of any works. (the documents must be disclosed at least 15 days before public consultations and consulted prior to launching the bidding process). Grievance mechanisms shall be available throughout project implementation SMS will be developed by December 2022.</i></p>	<p><i>PIU</i></p>

ESS 5: Land Acquisition, Restriction on Land Use and Involuntary Resettlement			
5.1	<p>RESETTLEMENT PLANS: Prepare, disclose, consult, adopt, and implement Resettlement Action Plans (RAPs) in accordance with ESS 5 and consistent with the requirements of the Resettlement Policy Framework (RPF) which has been prepared, disclosed, consulted and adopted for the Project.</p>	<p><i>RPF already prepared, disclosed, consulted and adopted.</i></p> <p><i>RPs to be prepared immediately after completion of sub-project design and after the social analysis (described in the RPF) confirms expropriation is needed.</i></p> <p><i>To be implemented and completed before any expropriation activities and before the commencement of the sub-project works.</i></p> <p><i>Throughout Project Implementation</i></p>	<p>PIU</p> <p>Funding from project resources</p>
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	<p>Relevant aspects of this standard shall be considered, as needed, under action 1.2 above, whereas, in line with the Project’s ESMF no works triggering significant issues in sensitive and valuable areas shall be financed. Biodiversity risks and impacts shall be efficiently addressed through Environmental Assessment Reports (ESMP, ESMP Checklist).</p>	<p><i>Environmental Assessment Reports (ESIAs, ESMPs, ESMP Checklists, updated will be prepared and consulted prior to the bidding.</i></p>	<p>PIU</p> <p>Contactor</p>
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
7.1. Not relevant.			
ESS 8: CULTURAL HERITAGE			
8.1	<p>Relevant aspects of this standard shall be considered, as needed, under action 1.2 above including Cultural Heritage Management Plans (CHMPs) which shall be developed (as part of the ESMP) for all works with identified CH risks such as overhaul of the historically significant train (upon establishing level of protection under the national law). Other works on Cultural Heritage protected objects will not be financed.</p> <p>Chance find procedures will be part of all contracts involving any works under the project.</p>	<p><i>CHMPs is prepared and consulted before the respective sub-project bidding.</i></p> <p><i>Prior to bidding and maintained throughout Project Implementation</i></p>	<p><i>MoCIT prepares CHMP with the support of PIU Environmental and Social Expert.</i></p> <p><i>CHMP shall be implemented by contractor and supervising engineer.</i></p> <p><i>Funding from Project funds</i></p> <p>PIU</p>

ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>PROJECT LEVEL STAKEHOLDER ENGAGEMENT PLAN: Prepare, disclose, consult, adopt and implement a project level Stakeholder Engagement Plan (SEP) consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.</p> <p>SUB-PROJECT LEVEL STAKEHOLDER ENGAGEMENT PLAN: Prepare, disclose, consult, adopt and implement sub-project level SEPs as per project level SEP.</p>	<p><i>Project-level SEP: Not later than Project appraisal</i></p> <p><i>Sub-project level SEPs: Prior to launching the bidding process</i></p>	<p><i>Project Preparation Team and PIU</i></p> <p><i>Funding from the Project budget</i></p>
10.2	<p>GRIEVANCE MECHANISM:</p> <p>An accessible grievance mechanism shall be established, publicized, maintained and operated to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall also receive, register and address concerns and grievances related to the, sexual exploitation and abuse, sexual harassment in a safe and confidential manner, including through the referral of survivors to gender-based violence service providers.</p>	<p><i>GM to be established and operational prior to appraisal. To be maintained and kept operational thereafter and throughout project implementation. Regular maintenance of grievance database.</i></p>	<p><i>PIU (Project Manager)</i></p> <p><i>Funding from the Project budget</i></p>
CAPACITY SUPPORT (TRAINING)			
CS1	<p>Trainings provided for Relevant Ministry Staff, PIU, Implementing Agencies (IZS, SC, SV), and relevant stakeholders on:</p> <p>SEP;</p> <p>RPF, RPs;</p> <p>Familiarization with the WB's ESF and EHS Guidelines.</p> <p>Environmental Assessment Reports preparation, implementation, monitoring and reporting (ESMPs, ESMP Checklists), LMP oversight, OHS and community health safety; Emergency response and preparedness; how to address SEA/SH complains; Prevention of COVID 19 spread; SEA/SH awareness training; Child protection.</p> <p>And other topics as relevant</p>	<p><i>Throughout Project Implementation, at minimum once a year.</i></p>	<p><i>PIU Environmental and Social Expert</i></p> <p><i>WB Environmental and Social Expert</i></p> <p><i>Funding from the Project budget</i></p>

CS2	Training for the contractors on implementation of environmental and social due diligence documents	<i>Throughout of the project implementation, preferred timing would be after the signing of the contract and before commencement of works</i>	<i>PIU Environmental and Social Expert Funding from the Project budget</i>
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